PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.		Complete if Known				
Fees pursuand the Consolidated Appro	> A A I T T A I	Application Number	10/601,084	1		
FEE TRANS	SWILLAL	Filing Date	6/20/2003	JAN 2 5 2007		
for FY	2006	First Named Inventor	Hooykaas et al.			
Applicant claims small entity s	tatus. See 37 CFR 1.27	Examiner Name	J. Duntson	BADEMARK		
TOTAL AMOUNT OF PAYMENT		Art Unit	1636			
	(\$) 180.00	Attorney Docket No.				
METHOD OF PAYMENT (check	all that apply)					

METHOD OF PAYMENT (check all that apply)								
☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) :								
\boxtimes	☐ Deposit Account Deposit Account Number: 20-1469 ☐ Deposit Account Name: TraskBritt, PC							
	For the above-ide	ntified depos	sit account,	the Director is	hereby authorize	d to: (check all that	apply)	
	☐ Charge fee	e(s) indicated	below			Charge fee(s) indic	ated below, excep	t for the filing fee
				derpayments o	f fee(s)	Credit any overpay	ments	
	Under 37	CFR 1.16 an	d 1.17					. d/a = =d
	RNING: Information on the ormation and authorization			c. Credit card if	tormation should	not be included on t	nis form. Provide cre	edit card
FE	E CALCULATION							
1.	BASIC FILING, SEA	RCH, AND	EXAMINA	ATION FEES				
		FILING F			ARCH FEES		INATION FEES	
	Application Type	Fee (\$)	Small Entite Fee(\$)		Small (e(\$) Fee(Small Entity Fee(\$)	Fees Paid (\$)
	Utility	300	150	500		200	100	
	Design	200	100	100	50	130	65	
	Plant	200	100	300	150	160	80	
	Reissue	300	150	500	250	600	300	
	Provisional	200	100	(0	0	0	
2.	EXCESS CLAIM FE	ES						Small Entity
	Fee Description	n :	,				<u>Fee (\$)</u>	Fee (\$)
	Each claim over 20 (inc Each independent claim			sues)			50 200	25 100
	Multiple dependent clair		dame reiss	ués)			360	180
Total Claims					Dependent Claims			
20 or HP= x = <u>Fee (\$) Fee Paid (\$)</u>								
	HP = highest number of				Ess Daid (f			
	Indep. Claims - 3 or HP=	Extra CI		Fee(\$)	Fee Paid (\$	1		
	- 3 or HP= x = HP = highest number of independent claims paid for, if greater than 3.							
3. APPLICATION SIZE FEE								
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer								
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
	Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)							Fee Paid (\$)
	100 = / 50 = (round up to a whole number) x =							
4.	OTHER FEE(S)							Fees Paid (\$)
	Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge) : Information Disclosure Statement						180.00		

SUBMITTED BY				
Signature	Dante	Registration No. (Attorney/Agent) 55,896	Telephone	801-532-1922
Name (Print/Type)	Daniel J. Morath, Ph.D.		Date	January 22, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hooykaas et al.

Serial No.: 10/601,084

Filed: June 20, 2003

For: NUCLEIC ACID INTEGRATION IN

EUKARYOTES

Confirmation No.: 6901

Examiner: J. Duntson

Group Art Unit: 1636

Attorney Docket No.: 2183-6028US

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 22, 2007

Date

______ <u>S</u>

Betty Vowles

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO/SB/08 be considered by the Examiner and made of record. Copies of any cited foreign patents, publications, or pending unpublished U.S. applications are enclosed pursuant to 37 C.F.R. § 1.98(a)(2).

Other Documents

NAYAK et al., A Versatile and Efficient Gene-Targeting System for *Aspergillus nidulans*, Genetics, March 2006, pp. 1557-66, Vol. 172.

01/26/2007 JBALINAN 00000044 201469 10601084 01 FC:1806 180.00 DA

Serial No.: 10/601,084

DA SILVA FERREIRA et al., The *aku*B^{KU80} Mutant Deficient for Nonhomologous End Joining Is a Powerful Tool for Analyzing Pathogenicity in *Aspergillus fumigatus*, Eukaryotic Cell, Jan. 2006, pp. 207-11, Vol. 5, No. 1.

NINOMIYA et al., Highly efficient gene replacements in *Neurospora* strains deficient for nonhomologous end-joining, August 17, 2004, PNAS, pp. 12248-53, Vol. 101, No. 33.

KRAPPMANN et al., Gene Targeting in *Aspergillus fumigatus* by Homologous Recombination Is Facilitated in a Nonhomologous End-Joining-Deficient Genetic Background, Eukaryotic Cells, Jan. 2006, pp. 212-15, Vol. 5, No. 1.

TAKAHASHI et al., Enhanced gene targeting frequency in ku70 and ku80 disruption mutants as Aspergillus sojae and Aspergillus oryzae, Mol. Gen. Genomics, 2006.

This Supplemental Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits.

The fee pursuant to 37 C.F.R. § 1.17(p) is authorized to be debited from TraskBritt Deposit Account 20-1469.

Respectfully submitted,

Daniel J. Morath, Ph.D. Registration No. 55,896

Attorney for Applicant(s)

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P.O. Box 2550

Salt Lake City, Utah 84110-2550

Telephone: 801-532-1922

Date: January 22, 2007

DJM/bv

Enclosures: Form PTO/SB/08

Cited Non-U.S. Patent Documents





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Substitute for form 1449A/PTO Complete if Known 10/601,084 Application Number INFORMATION DISCLOSURE Filing Date June 20, 2003 STATEMENT BY APPLICANT First Named Inventor Hooykaas et al. Group Art Unit 1636 (use as many sheets as necessary) **Examiner Name** J. Duntson 2183-6028US Attorney Docket Number

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
		NAYAK et al., A Versatile and Efficient Gene-Targeting System for Aspergillus nidulans, Genetics, March 2006, pp. 1557-66, Vol. 172.				
		DA SILVA FERREIRA et al., The akuB ^{KU80} Mutant Deficient for Nonhomologous End Joining Is a Powerful Tool for Analyzing Pathogenicity in Aspergillus fumigatus, Eukaryotic Cell, Jan. 2006, pp. 207-11, Vol. 5, No. 1.				
		NINOMIYA et al., Highly efficient gene replacements in <i>Neurospora</i> strains deficient for nonhomologous end-joining, August 17, 2004, PNAS, pp. 12248-53, Vol. 101, No. 33.				
		KRAPPMANN et al., Gene Targeting in Aspergillus fumigatus by Homologous Recombination 1s Facilitated in a Nonhomologous End-Joining-Deficient Genetic Background, Eukaryotic Cells, Jan. 2006, pp. 212-15, Vol. 5, No. 1.				
		TAKAHASHI et al., Enhanced gene targeting frequency in ku70 and ku80 disruption mutants as Aspergillus sojae and Aspergillus oryzae, Mol. Gen. Genomics, 2006.				

Examiner	Date	
Signature	Considered	

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¹ Unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.